



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Erik A. Altman et al

Serial No.: 09/847776

Group Art Unit:

Filed: May 2, 2001

Examiner:

For: **METHOD AND APPARATUS FOR
TRANSFERRING CONTROL IN A
COMPUTER SYSTEM WITH DYNAMIC
COMPILATION CAPABILITY**

Docket No: YOR920010367

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In order to assist in the examination of this application, and in accordance and compliance with 37 CFR 1.56, 1.97 and 1.98, a copy of each of the documents listed on the attached form PTO-1449 is forwarded herewith.

Respectfully submitted,

By: 

Robert P. Tassinari, Jr.
Registration No. 36,030

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Yorktown Heights, New York 10598
(914) 945-2631

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|--|----|--|--|---------------------------------|--|----------------------|--|------|-----------------|-------|----------|-------------------------|
| FORM PTO-1449 (Modified) | | | | ATTY. DOCKET NO. YOR9200010367 | | SERIAL NO.:09/847776 | | | | | | |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | | | | APPLICANT: Erik R. Altman et al | | | | | | | | |
| (Use several sheets if necessary) | | | | FILING DATE: May 2, 2001 | | GROUP: | | | | | | |
| REFERENCE DESIGNATION | | | | U.S. PATENT DOCUMENTS | | | | | | | | |
| EXAMINER INITIALS | | DOCUMENT NUMBER | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPRO.) |
| | AA | | | | | | | | | | | |
| | AB | | | | | | | | | | | |
| | AC | | | | | | | | | | | |
| | AD | | | | | | | | | | | |
| | AE | | | | | | | | | | | |
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| | AI | | | | | | | | | | | |
| | AJ | | | | | | | | | | | |
| | AK | | | | | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | |
| | | DOCUMENT NUMBER | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | | | | | | | YES NO |
| | AL | | | | | | | | | | | |
| | AM | | | | | | | | | | | |
| | AN | | | | | | | | | | | |
| | AO | | | | | | | | | | | |
| | AP | | | | | | | | | | | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | | | | | | |
| | AQ | IEEE Computer March 2000 "Dynamic and Transparent Binary Translation" M. Gschwind et al pp. 54-59 | | | | | | | | | | |
| | AR | ACM/IEEE 32nd Intl. Symposium on Microarchitecture, " Optimizations and Oracle Parallelism with Dynamic Translation" K. Ebcioglu et al | | | | | | | | | | |
| | AS | ACM/IEEE Computer Sigplan Notices Vol. 22, #7 July1987 "Mimic: A Fast System/370 Simulator" Cathy May | | | | | | | | | | |
| EXAMINER | | | | | | | | | DATE CONSIDERED | | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | | | | | |



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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Post Card
Information Disclosure Statement
with attached PTO 1449 form and references

is being deposited with the United States Postal Service as first
class mail in an envelope addressed to:

Assistant Commissioner of Patents
Washington, DC 20231

on May 16, 2001

Emily S. Forbes
(Print name of person certifying paper)

Emily S. Forbes
(Signature of person certifying paper)

Docket No. YOR920010367